



Practitioner's Docket No. 101045.0001US1

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Larson, Jeffrey; Schorer, Scott; Bertele, Theodore; and Greenberg, Louis

Application No.: 10/645,136

Filed: August 20, 2003

For: Guided Retractor and Methods of Use

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR  
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

**IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: 11/14/03

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Signature of Practitioner

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*  
CERTIFICATE OF FIRST CLASS MAILING (37 C.F.R. Section 1.10/1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 11/14/03

Barbara Hayashi

# LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

101045.0001US1

SERIAL NO.

10/645136

APPLICANT

KISS Medical, LLC, et al.

FILING DATE

August 20, 2003

GROUP

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE    | NAME               | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|----|-----------------|---------|--------------------|-------|----------|-------------------------------|
|                      | AA | US 6206826      | 3/2001  | Mathews, et al.    |       |          |                               |
|                      | BB | US 6471644      | 10/2002 | Sidor              |       |          |                               |
|                      | CC | US 6162172      | 12/2000 | Cosgrove, et al.   |       |          |                               |
|                      | DD | US 5027793      | 7/1991  | Engelhardt, et al. |       |          |                               |
|                      | EE |                 |         |                    |       |          |                               |
|                      | FF |                 |         |                    |       |          |                               |
|                      | GG |                 |         |                    |       |          |                               |
|                      | HH |                 |         |                    |       |          |                               |
|                      | II |                 |         |                    |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | JJ |                 |      |         |       |          |             |    |
|  | KK |                 |      |         |       |          |             |    |
|  | LL |                 |      |         |       |          |             |    |
|  | MM |                 |      |         |       |          |             |    |
|  | NN |                 |      |         |       |          |             |    |

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |    |  |
|--|----|--|
|  | OO |  |
|  | PP |  |
|  | QQ |  |

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.